

# Local strain dependence of uniaxial magnetic anisotropy in M-type ferrites

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We study the magnetic anisotropy (MA) of magnetoplumbite (M)-type ferrites by calculating the electronic structure of clusters formed with an Fe<sup>3+</sup> ion and O<sup>2-</sup> ions. Spin-orbit interaction (*LS* coupling) is taken into account. It is shown that the *p-d* mixing between the Fe and O ions and local lattice distortion are crucial to understand the MA of M-type ferrites. Calculations of MA for M-type ferrites doped with Fe<sup>2+</sup> or Co<sup>2+</sup> ions demonstrate the importance of local lattice distortion on MA. It is shown that Fe<sup>2+</sup> ions doped into 2a-site produce metamagnetic character when a compressive deformation along *c*-axis is introduced. It is also shown that the Co<sup>2+</sup> ions doped onto 4f<sub>1</sub> site may enhance the uniaxial MA when a compressive deformation is introduced.

**Key words** : hexagonal ferrite, uniaxial magnetic anisotropy, local lattice distortion, cluster model

## 1. Introduction

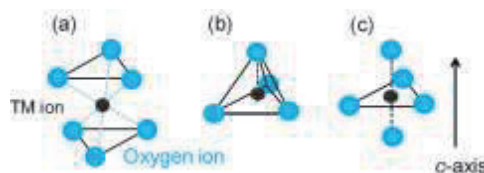
Development of high performance permanent magnets is one of the important technological challenges for the energy-conserving society. Hexagonal ferrite magnets<sup>1),2)</sup> are used most widely on a commercial basis. Even a 10 percent increase in the performance of ferrite magnets makes a great contribution towards energy-saving. It was recently found that doping of divalent Co ions into magnetoplumbite (M)-type ferrites increases magnetic anisotropy (MA).<sup>3)-5)</sup> However, relation between the increase in MA and preferential sites for Co<sup>2+</sup> ions has not been clarified<sup>6)-9)</sup> and hence, no systematic development of high-performance ferrite magnets has been successful.

Requirements for large MA in permanent magnets are large value of magnetization, strong spin-orbit interaction (SOI), and low crystal symmetry. It is well known that rare-earth Fe (Co) compounds have tetragonal or hexagonal lattice symmetry. Recently, it was reported that thin films of tetragonally distorted spinel ferrites, Fe(Fe-Co)<sub>2</sub>O<sub>4</sub>, on suitable substrates produce extremely high uniaxial MA.<sup>10)</sup> The mechanism of this high MA has been elucidated by both a phenomenological theory and an electron theory for an octahedral cluster containing a single Co ion and surrounding six O ions.<sup>11),12)</sup>

M-type ferrites, AFe<sub>12</sub>O<sub>19</sub> with A = Ba, Sr, or La, have a hexagonal lattice structure and their unit cell contains two formula units (fu's).<sup>1),2)</sup> There are five nonequivalent sites for Fe ions called 2a, 2b, 4f<sub>1</sub>, 4f<sub>2</sub>, and 12k sites. Fe ions are trivalent in M-type ferrites with divalent A (Ba<sup>2+</sup> and Sr<sup>2+</sup>) ion. On the other hand, one Fe ion in LaFe<sub>12</sub>O<sub>19</sub> is divalent because La ions are trivalent. Magnetic moments of Fe ions at 2a, 2b, and 12k sites are parallel to the bulk moment, while those at 4f<sub>1</sub>

and 4f<sub>2</sub> sites are antiparallel to the bulk moment. An important point is the shape of the clusters formed by a single Fe ion and surrounding O ions. Clusters for 2a-, 4f<sub>2</sub>-, and 12k-Fe ions are of six-coordinate (octahedral), and the clusters for 2b- and 4f<sub>1</sub>-Fe ions are of five-coordinate (hexahedral) and four-coordinate (tetrahedral), respectively, as shown in Fig. 1. It is noted that the octahedral and tetrahedral clusters are slightly distorted compared to the regular clusters existing in a cubic spinel ferrite.

In this work, using the electron theory for Fe-O clusters with SOI (*LS* coupling), we will show that the small lattice distortion of the clusters is crucial to the magnitude of MA in M-type ferrites and that the MA produced by Co<sup>2+</sup> ions doped into M-type ferrite is also affected by the cluster distortion. To begin with, we first explain how the trivalent Fe ions produce MA of M-type ferrites in the present cluster model. This is nontrivial because 3d<sup>5</sup> electron configuration of Fe<sup>3+</sup> gives rise to null orbital angular momentum resulting in no *LS* coupling. We show that the *p-d* mixing of wave functions on O and Fe ions is essential to understand the MA in oxide magnets.



**Fig. 1** Types of clusters formed by a TM ion (Fe or Co) and surrounding O ions. (a) Six-coordinate (octahedral) cluster, (b) four-coordinate (tetrahedral) cluster, and (c) five-fold (hexahedral) cluster. Direction of *c*-axis of the M-type ferrite is shown by an arrow.

In next section we explain a model (cluster model) for the electron theory to calculate MA. In section III, we will provide the calculated results of MA for undoped M-type ferrites, followed by those produced for  $\text{Fe}^{2+}$  and  $\text{Co}^{2+}$  ions in M-type ferrites. To clarify the effect of cluster distortion on MA of doped ferrites, the MA energy is calculated as a function of cluster distortion. Implications of the calculated results will be discussed, and conclusions are given in section IV.

## 2. Method of calculation

We perform numerical calculations for clusters with a single  $\text{Fe}^{3+}$ ,  $\text{Fe}^{2+}$ , or  $\text{Co}^{2+}$  ion surrounded by six (octahedral cluster), five (hexahedral cluster), and four (tetrahedral cluster)  $\text{O}^{2-}$  ions using full orbital tight-binding (TB) model with SOI ( $LS$  coupling) on Fe and Co ions. The 3d-orbitals of the transition metal (TM) ions are spin-polarized and the ions have local moments. The clusters are simplified ones but satisfy the local symmetry.

Parameters of the inter-site  $p$ - $d$  hopping between five 3d-orbitals on a TM ion and three 2p-orbitals on oxygen ions are determined from Harrison's textbook.<sup>13,14</sup> Inter-site  $p$ - $p$  hopping between O ions is also taken into consideration. The atomic energy levels of 2p- and 3d-orbitals and the magnitude of the exchange splitting are fixed by considering the results of the electronic states of the spinel ferrites calculated using first-principles.<sup>15, 16</sup> Number of electrons on 3d states of  $\text{Fe}^{3+}$ ,  $\text{Fe}^{2+}$ , and  $\text{Co}^{2+}$  are five, six, and seven per ion, respectively, and that of  $\text{O}^{2-}$  is six per ion. The ground state energy is calculated by diagonalizing the Hamiltonian matrix as a function of magnetization direction. It is noted that the mixing of p- and d-orbitals reproduces the correct symmetry-dependence of energy levels as in the crystal field potentials.

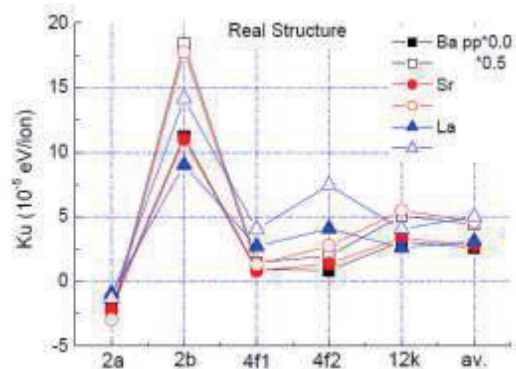
The values of the SOI of  $\text{Fe}^{3+}$ ,  $\text{Fe}^{2+}$ , and  $\text{Co}^{2+}$  ions are fixed as 0.057, 0.050, and 0.063 eV per ion, respectively.<sup>17</sup> The values are consistent with the dependence of SOI on  $Zr^3$ , where  $Z$  and  $r$  are the atomic number and ionic radius of the TM ion, respectively.

In the following, "positive uniaxial MA" of the cluster is defined in such a way that the easy-direction of the cluster magnetization coincides with the  $c$ -axis shown in Fig. 1.

## 3. Calculated results and discussions

### 3.1 Magnetic anisotropy of $\text{AFe}_{12}\text{O}_{19}$

Figure 2 shows the calculated results of MA energy ( $K_u$ ) for each cluster in M-type ferrites with  $A = \text{Ba}$ ,  $\text{Sr}$ , and  $\text{La}$ . Positive  $K_u$  indicates the uniaxial MA. The shapes of the clusters used in the calculations are the same as those in the lattices observed for  $A = \text{Ba}$ ,  $\text{Sr}$ , and  $\text{La}$ .<sup>18-20</sup> Two sets of parameter values are used, among which, one includes no  $p$ - $p$  hopping and the other includes  $p$ - $p$  hopping with a weighing factor of 0.5. Although the La-ferrite includes one  $\text{Fe}^{2+}$  ion in fu because



**Fig. 2** Calculated results of MA energy,  $K_u$  for  $\text{Fe}^{3+}$  on each nonequivalent site in M-type ferrites. Closed symbols are for those without  $p$ - $p$  hopping in the cluster model, and open symbols are for those with  $p$ - $p$  hopping and a weighing factor of 0.5. "av" indicates the averaged value over the  $K_u$  values calculated for five nonequivalent sites. 0.1 meV/ion corresponds to 5.6 Merg/cm<sup>3</sup>.

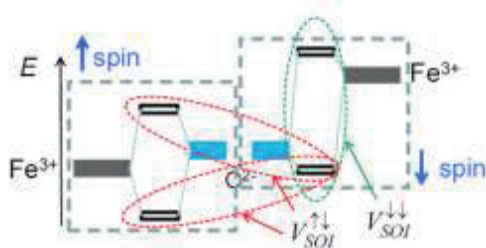
La ion is trivalent, we neglected the existence of  $\text{Fe}^{2+}$  assuming that all the Fe ions are trivalent, in order to study the dependence of  $K_u$  on the small local lattice distortion

As shown in Fig. 2, the 2b site contributes most dominantly to the uniaxial MA because of its lowest cluster symmetry. Nevertheless, the other sites, especially 12k site, may contribute to the total MA because of the abundance of the 12k site. The tendency has already been discussed,<sup>1)</sup> and is consistent with that obtained in the theoretical analysis using crystal field model<sup>21)</sup> and with that in the first-principle calculations.<sup>22)</sup>

The calculated and experimental results of MA energy per unit volume are presented in Table 1. The calculated results are obtained by summing up the contribution of each cluster. We find that the results are in good agreement with the experimental ones. The result calculated by the first-principles is nearly half of the experimental values.<sup>22)</sup> The tendency observed in our calculation suggests the validity of our cluster model. It is noted that the calculated values shown in Table 1 include the effect of  $p$ - $p$  hopping and that the inclusion of  $p$ - $p$  hopping enhances the magnitude of  $K_u$  values.

**Table 1** Experimental and calculated values of  $K_u$  in Merg/cm<sup>3</sup> for  $A = \text{Ba}$ ,  $\text{Sr}$ , and  $\text{La}$ . The result calculated using first-principles is also presented. The value of  $K_u$  of La-ferrite includes a contribution from  $\text{Fe}^{2+}$  and is larger than those of Ba- and Sr-ferrites by a factor 1~2.

A	Ba	Sr	La
Exp. <sup>23)</sup>	3.25	3.57	-
Present cal.	2.45	2.60	2.81
First-principles <sup>22)</sup>	-	1.8	-



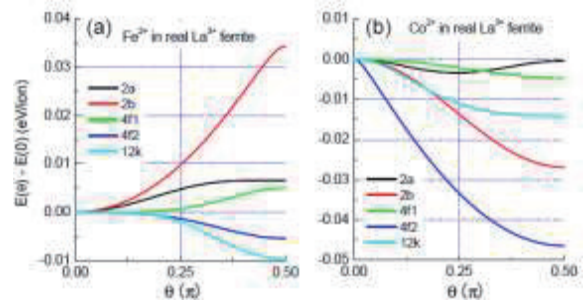
**Fig. 3** A schematic diagram of the energy levels of a cluster formed by a TM ion at the center and O ions surrounding the TM ion. Shaded boxes represent the unhybridized levels and open boxes indicate the bonding and antibonding states after  $p$ - $d$  mixing.  $V_{SOI}^{\uparrow\downarrow}$  and  $V_{SOI}^{\downarrow\downarrow}$  denote up-down spin and down-down spin components of the  $LS$  coupling.

To study the effect of the local lattice distortion in  $AFe_{12}O_{19}$  on the MA, we performed similar cluster calculations for an ideal M-type ferrite in which the octahedral and tetrahedral clusters are regular octahedrons and tetrahedrons, respectively. The  $K_u$  value is 1.6 Merg/cm<sup>3</sup>, which is much smaller than those calculated for  $AFe_{12}O_{19}$ . The result indicates the importance of the local lattice distortion on MA.

The appearance of the MA in  $Fe^{3+}$  ions is explained in terms of  $p$ - $d$  mixing between the spin-polarized 3d states of an Fe ion and 2p states of O ions. Figure 3 is a schematic presentation of the energy levels for the spin-polarized 3d states and unpolarized 2p states. Shaded boxes represent the energy levels of unhybridized states and white boxes indicate the bonding and antibonding states after  $p$ - $d$  mixing. The down-spin bonding states include 3d-orbital components; consequently, the  $LS$  coupling becomes active between both up-down and down-down spin components, resulting in a weak MA in  $Fe^{3+}$  ions.

Some discussions on the validity of the present calculations are ready. The bulk  $K_u$  of Ba-ferrite was calculated to be 0.6 Merg/cm<sup>3</sup> by using the crystal field model,<sup>21)</sup> in which the local  $K_u$  values were reported to be  $17.4 \times$  and  $-2 \sim 6 \times 10^{-5}$  eV/ion for 2b-Fe and the other Fe ions, respectively. Although the tendency of the local  $K_u$  values agrees with the present results, the bulk  $K_u$  is smaller than that in the present model. The result may be attributed to the negative value of local  $K_u$  for the abundant 12k-site Fe ions.

The first principles calculation of the local  $K_u$  for Sr-ferrite in the Wien2K with GGA+U formalism<sup>22)</sup> gives  $24 \times$  and  $-1 \sim 2 \times 10^{-5}$  eV/ion for 2b-Fe and the other Fe ions, respectively. Although the bulk  $K_u$  value shown in Table 1 is consistent with the present result, the local  $K_u$  values except for 2b-Fe are not necessarily consistent with ours. We attribute the difference to the long-range effect neglected in our cluster model. Resultant numerical error inherent to the present model seems be of the order of  $10^{-5}$  eV/ion, because the accu-



**Fig. 4** MA energy, calculated in the cluster model, as a function of angle  $\theta$  of the magnetization direction measured from  $c$ -axis shown in Fig. 1 for (a)  $Fe^{2+}$  and (b)  $Co^{2+}$  ions in the nonequivalent sites of La-ferrite.

racy in the numerical calculation is better than  $10^{-7}$  eV/ion.

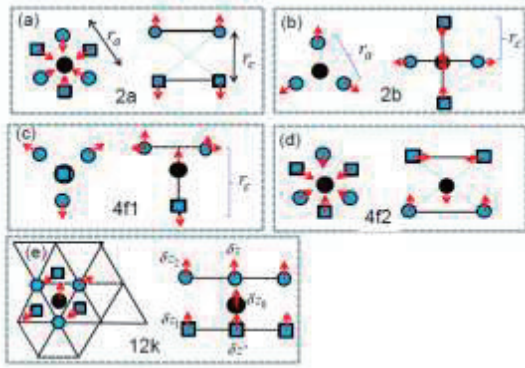
### 3.2 MA of divalent Fe and Co ions

To clarify the sites occupied by  $Co^{2+}$  in M-type ferrites is crucial in the fabrication of high performance ferrite magnets, however, the experimental results are controversial:<sup>(6),7),24)-27)</sup> Mössbauer and Raman measurements suggest  $4f_2$  site as the occupied site, while Neutron diffraction and Extended X-ray Absorption Fine Structure (EXAFS) measurements suggest  $4f_1$  site. As for the occupation of  $Fe^{2+}$  ions, on the other hand, both experimental and theoretical results suggest 2a site as the most preferential site.<sup>22),28)</sup> In addition, a metamagnetic transition observed in the magnetization process for  $Fe^{2+}$  doped  $(Ca-La)Fe_{12}O_{19}$  might be a clue to identify the occupation sites of  $Fe^{2+}$  ions.<sup>28)</sup>

To examine the effects of  $Fe^{2+}$  and  $Co^{2+}$  ions on MA, we perform numerical calculation of MA energy for clusters which contain  $Fe^{2+}$  or  $Co^{2+}$ . The shape of the cluster is assumed to be the same as that in La-ferrite. Calculated results for  $Fe^{2+}$  and  $Co^{2+}$  ions as a function of magnetization direction  $\theta$ , the angle measured from  $c$ -axis, are presented in Fig. 4(a) and 4(b), respectively.

As shown in figure 4(a),  $Fe^{2+}$  ions on 2a, 2b, and  $4f_1$  sites enhance the uniaxial  $K_u$ , while those on  $4f_2$  and 12k sites suppress it. However, no such tendency has been observed in experiments. Furthermore, the  $\theta$ -dependence of MA energy on 2a-Fe shows no tendency of a metamagnetic transition. As for  $Co^{2+}$  ions in La-ferrite, they, except for those on 2a site, suppress the uniaxial MA as shown in Fig. 4(b). The characteristic feature shown in Fig. 4(b) has been found to be unchanged for  $Co^{2+}$  ions doped in the other M-type ferrites. The MA energy thus calculated for  $Co^{2+}$  ion doped into any site in M-type ferrites would not explain the observed increase in the uniaxial  $K_u$ .

As mentioned, the shape of the clusters used in the calculations is assumed to be the same as that in the lattice structure of La-ferrite. However, in (La-Ca)-ferrite for example, random distribution of  $Fe^{3+}$  and  $Fe^{2+}$  ions may give rise to additional lattice distur-



**Fig. 5** Types of cluster distortions denoted by the shift (small red arrows) of O and Fe ions for (a) 2a, (b) 2b, (c) 4f<sub>1</sub>, (d) 4f<sub>2</sub>, and (e) 12k sites. Right and left panels of each figure are the projections of the cluster onto the *c*-plane and a plane along the *c*-axis, respectively. Two distances  $r_a$  and  $r_c$  are defined as the in-plane O–O distance and inter-plane one, respectively.

tion because of different ionic radii between Fe<sup>3+</sup> and Fe<sup>2+</sup> ions. Distribution of La and Ca ions may also lead to a similar effect. As shown in the previous subsection, the MA of M-type ferrite is sensitive to the lattice distortion of clusters, and therefore, it is expected that the calculated results shown in Fig. 4 might be altered by lattice distortion of the clusters. In the following, we perform cluster calculations by introducing cluster distortions.

As the details of local lattice distortion in (La-Ca)-ferrite are unknown, we first survey the characteristic feature of the local lattice distortion of Fe–O clusters in Ba-, Sr-, and La-ferrites.<sup>18)–20)</sup> Results are depicted in Fig. 5, where small arrows denote the shifts of O and Fe ions from the positions of ideal clusters. The shifts for 2a-site cluster, for example, are depicted in Fig. 5(a). The left figure is a projection of the cluster on the *c*-plane, and shows that the O ions on both upper and lower triangles shrink, that is, the distance  $r_a$  shown in the figure becomes smaller than that of the regular octahedron in the cubic spinel ferrite. The right figure is a projection on to a plane along *c*-axis. It shows that O ions on the upper triangle shift upward and those on the lower triangle shift downward, resulting in an increase in the distance  $r_c$ . Figures for the other clusters should be understood similarly, except for the shift of the Fe ions along the *c*-axis. It is notable that result for the 12k-cluster exhibits complicated lattice distortion as depicted in Fig. 5(e).

The O-ion position is shifted from the position of the regular octahedron or tetrahedron by a small amount of  $(\delta x_0, \delta y_0, \delta z_0)$ , which may be estimated by using the X-ray diffraction data for Ba, Sr, and La-ferrites.<sup>18)–20)</sup> The estimated values are  $\delta x_0 = -0.01 \sim 0.015 \text{ \AA}$ ,  $\delta y_0 = -0.02 \sim 0.01 \text{ \AA}$ , and  $\delta z_0 \lesssim 0.04 \text{ \AA}$  for 2a, 4f<sub>1</sub>

**Table 2.** Estimated magnitude of cluster distortion in M-type ferrites, normalized  $r_c/r_a$ , and shift of Fe ion  $|\delta z|$ . Values for 12k-site cluster are omitted because of the complexity of the cluster distortion.

	Normalized $r_c/r_a$	Shift of Fe ion $ \delta z $ (Å)
2a	1.08 ~ 1.12	0
2b	0.87 ~ 0.90	0.006 ~ 0.007
4f <sub>1</sub>	1.06 ~ 1.07	0.002 ~ 0.003
4f <sub>2</sub>	1.10 ~ 1.17	0.009 ~ 0.011
12k	–	–

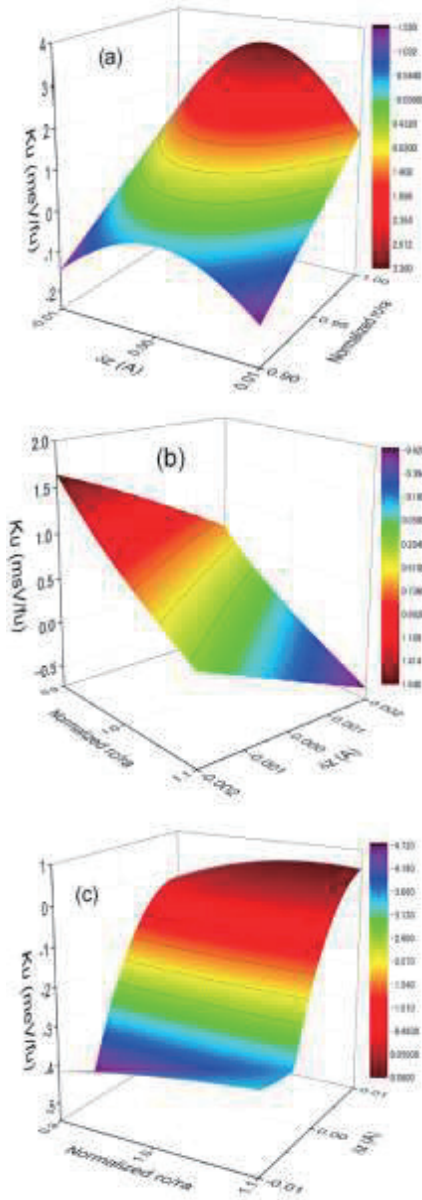
and 4f<sub>2</sub> site clusters. By using these values, the range of  $r_a$  and  $r_c$  values defined in Fig. 5 have been evaluated.

The cluster distortion may be characterized by two quantities, a ratio  $r_c/r_a$  where  $r_c$  and  $r_a$  are the in-plane and inter-plane distances between two O ions, respectively, and  $\delta z$  is the shift of Fe ion as defined in Fig. 5. Here, we introduce a normalized ratio  $(r_c/r_a)_n$  that is the value of  $r_c/r_a$  normalized by that for regular octahedron or tetrahedron. The  $(r_c/r_a)_n$  value for 2b-site cluster is determined using 2b-site cluster in the ideal M-type ferrite in which regular octahedrons and tetrahedrons are included. Table 2 shows values of normalized ratiom  $(r_c/r_a)_n$  and  $|\delta z|$  for clusters with the nonequivalent Fe sites. In the following, we use these values as a measure of lattice distortion to calculate the dependence of  $K_u$  on the local lattice distortion in M-type ferrite doped with Fe<sup>2+</sup> or Co<sup>2+</sup> ions.

Now, we study the dependence of  $K_u$  values on local lattice distortion for Fe<sup>2+</sup> and Co<sup>2+</sup> ions doped in Sr-ferrite. We focus our attention on the Fe<sup>2+</sup> ion on 2a site, which may be responsible for the metamagnetic behavior, and on the Co<sup>2+</sup> ion on 4f<sub>1</sub> and 4f<sub>2</sub> sites, which are suggested to be plausible for Co<sup>2+</sup> occupation.

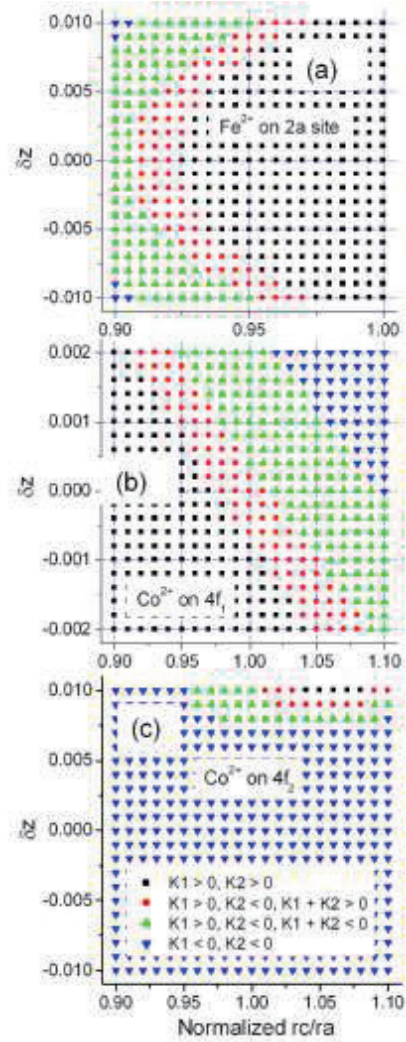
Figure 6(a) shows calculated values of  $K_u$  of Sr-ferrite in which 20 % Fe<sup>2+</sup> ions are doped into 2a sites. The range of the lattice distortion is  $0.9 < (r_c/r_a)_n < 1.0$  and  $-0.01 < \delta z < 0.01$ . The value of  $\delta z$  is zero because of the lattice symmetry, however, we have introduced it virtually for comparison with results obtained for the other clusters. The  $K_u$  value on Fe<sup>2+</sup> ion and that of the bulk Sr-ferrite calculated in the previous subsection, have been averaged over. Red region shows positive  $K_u$  and blue and green regions indicate negative  $K_u$ . The linear dependence of  $K_u$  on  $(r_c/r_a)_n$  is the same as the tetragonality dependence of  $K_u$  given by the relation  $K_u = B(c/a-1)$  in cubic lattices, where  $B$  is the magnetoelastic constant. The dependence of  $K_u$  on  $\delta z$  is symmetrical because of the symmetry of the 2a-site cluster along the *c*-axis.

Because  $\theta$ -dependence of  $K_u$  is given as  $K_u \sim K_1 \sin^2\theta + K_2 \sin^4\theta$ , several magnetic states may appear depending on the signs and magnitudes of  $K_1$  and  $K_2$ . Therefore, we have approximately fitted the calculated results of  $K_u(\theta)$  using the equation above, and have



**Fig. 6** Calculated results of  $K_u$  (in Merg/cm<sup>3</sup>) of Sr-ferrite with 20 % doping of Fe<sup>2+</sup> or Co<sup>2+</sup> ions. Results are plotted as a function of normalized  $r/r_a$  ratio,  $(r/r_a)_n$  (see text), and  $\delta z$  (in Å), which characterize the cluster distortion and the shift of Fe or Co ions. (a) Fe<sup>2+</sup> doping on 2a site, (b) Co<sup>2+</sup> doping on 4f<sub>1</sub> site, and (c) Co<sup>2+</sup> doping on 4f<sub>2</sub> site.

obtained values of  $K_1$  and  $K_2$ . These values are useful to semi-quantitatively discuss the dependence of the magnetic state on lattice distortion. Combination of the signs of  $K_1$ ,  $K_2$ , and  $K_u$  is plotted in Fig. 7(a) as a function of  $(r/r_a)_n$  and  $\delta z$ . For  $(r/r_a)_n > 0.925$  with  $\delta z = 0$ , all values are positive, while states with  $K_1 > 0$ ,  $K_2 < 0$ , and  $K_u > 0$  appear with decreasing  $(r/r_a)_n$ . In these states the metamagnetic transition may occur when an in-plane external magnetic field is applied. The most



**Fig. 7** Signs of  $K_1$ ,  $K_2$ , and  $K_u$  calculated as a function of normalized  $r/r_a$  ratio and  $\delta z$  (in Å) to characterize the magnetic states of Sr-ferrites with 20 % doping of Fe<sup>2+</sup> or Co<sup>2+</sup> ions. (a) Fe<sup>2+</sup> ion on 2a site, (b) Co<sup>2+</sup> ion on 4f<sub>1</sub> site, and (c) Co<sup>2+</sup> ion on 4f<sub>2</sub> site.

notable result shown in Fig. 6(a) and Fig. 7(a) is that the sign of MA is altered by a very small change in the inter-atomic distance.

Figure 6(b) shows the results for  $K_u$  of Sr-ferrite with 20 % doping of Co<sup>2+</sup> on 4f<sub>1</sub> site. The red and blue regions indicate positive and negative  $K_u$ , respectively. The magnitude of the local distortion of the cluster containing 4f<sub>1</sub> site in the undoped Sr-ferrite corresponds to that in the blue region. With decreasing  $(r/r_a)_n$ , which implies increasing the compression along the  $c$ -axis,  $K_u$  values increase and become positive as shown in the figure. Similarly,  $K_u$  value becomes positive as  $\delta z$  turns to be negative.

Combination of the signs of  $K_1$ ,  $K_2$ , and  $K_u$  is plotted in Fig. 7(b) as a function of  $(r/r_a)_n$  and  $\delta z$ . We find the

blue region with negative  $K_u$  changes to be positive with decreasing  $(r/r_a)_n$ . Similar change occurs in case of sign change in  $\delta z$  from positive to negative. We see that the combination of signs of  $K_1$ ,  $K_2$ , and  $K_u$  is altered by a few % change in  $(r/r_a)_n$ , as well as by a small shift  $\delta z$  of Co ion.

Similar plots for Sr-ferrite with 20 % doping of  $\text{Co}^{2+}$  on  $4f_2$  site are presented in Fig. 6(c) and Fig. 7(c). It is noted that color presentation in Fig. 6(c) is different from that in figure 6(b): zero of  $K_u$  resides in the blue region in Fig. 6(b), while it is in the red region in Fig. 6(c). As shown in Table 2, values of  $(r/r_a)_n$  and  $\delta z$  for  $4f_2$  site cluster in the undoped ferrite are close to 1.1 and  $-0.01 \text{ \AA}$ , respectively. Therefore, 20 % doping of  $\text{Co}^{2+}$  on  $4f_2$  site makes  $K_u$  strongly negative. The plot in Fig. 7(c) shows that the negative sign of  $K_u$  would not be altered by weak distortion of the cluster.

We have thus investigated the overall feature of the effects of the local lattice distortion, that is, the cluster deformation, on  $K_u$  values as well as on the signs of  $K_1$ ,  $K_2$ , and  $K_u$ . The possible magnitude of the local lattice distortion after  $\text{Fe}^{2+}$  or  $\text{Co}^{2+}$  doping was estimated by comparing the distortions in Ba-, Sr-, and La-ferrites with those in the ideal structure. The results may be summarized in the following manner.

Because the MA induced by  $\text{Fe}^{2+}$  and  $\text{Co}^{2+}$  ions is much larger than that induced by  $\text{Fe}^{3+}$  ion, dopant of small amount of  $\text{Fe}^{2+}$  and  $\text{Co}^{2+}$  ions may change the character of MA of M-type ferrites. When the lattice structure of doped ferrites is assumed to be the same as that of undoped ferrite, the change in  $K_u$  values for  $\text{Fe}^{2+}$  doping depends on the doped site of  $\text{Fe}^{2+}$ , while  $K_u$  for  $\text{Co}^{2+}$  ion is negative and independent of the doped site. However, such change in MA of doped ferrites strongly depends on the local lattice distortion, a very small change in  $(r/r_a)_n$  of the cluster and/or a small shift in the position of  $\text{Fe}^{2+}$  ( $\text{Co}^{2+}$ ) ions. Furthermore, such MA change depends on the occupation sites. For  $\text{Fe}^{2+}$ -doped 2a site, the metamagnetic feature appears under cluster compression along the  $c$ -axis. For  $\text{Co}^{2+}$ -doped  $4f_1$  site, similar compression makes  $K_u$  positive.

The results are consistent with the observed ones, however, several discussions are possible. First, so far no explicit observation for the relation of MA change and local lattice distortion has been reported. Because the lattice distortion introduced in our calculation is very small, high-precision local probe is required to measure the lattice distortion.<sup>30)</sup>

Secondly, in our calculations, the distortion of each cluster was treated independently. This treatment may not always be correct. For example, Fe ions on  $4f_2$  sites form dumbbell structure in M-type ferrites, that is, two neighboring octahedrons share a triangle face, resulting in a double-cluster structure. It should be noted that, for this type of double-cluster structure,  $K_u$  value induced by two  $\text{Co}^{2+}$  ions depends on the distance between the two  $\text{Co}^{2+}$  ions. Our preliminary results show that with decreasing distance, direct overlap of 3d-electron

clouds increases and contributes to make  $K_u$  positive. The tendency is the same with that in the usual hexagonal Co lattices.

Thirdly, we would like to discuss the long-range effects of crystal field potential on  $K_u$  values. The bulk  $K_u$  values of M-type ferrites doped with 20%  $\text{Co}^{2+}$  or  $\text{Fe}^{2+}$  ions are of the order of 1 meV/fu as shown in Fig. 6, which corresponds  $0.5^{-3}$  eV per Co or Fe ion. The long-range effects on the local  $K_u$  of  $\text{Co}^{2+}$  have been investigated in a simple model for tetragonally distorted spinel ferrites in which a local trigonal symmetry around TM ions on the so-called B-site is produced due to characteristic lattice structure in the second nearest neighbor sites.<sup>31)</sup> It has been shown that the local trigonal symmetry reduces the  $K_u$  value of the tetragonally distorted spinel ferrites.<sup>12)</sup> Although M-type ferrites have no such local symmetry, existence of Ba or Sr ions and distribution of  $\text{Co}^{2+}$  and/or  $\text{Fe}^{2+}$  ions may reduce the bulk  $K_u$  values. Nevertheless, the local  $K_u$  values of  $\text{Co}^{2+}$  and  $\text{Fe}^{2+}$  remain sufficiently large as compared with those of  $\text{Fe}^{3+}$ , and therefore the semi-quantitative results shown in Figs. 6 and 7 would be unchanged. It is noted that such effect is not large for  $\text{Fe}^{3+}$  ions because the up and down spin states are already split by the large exchange field.

Finally, the clusters in ferrites are connected with one another, and therefore, the distortion of each cluster is affected by other clusters. Furthermore, such local lattice distortion is influenced by the distribution of divalent and trivalent ions in the lattice. One possible way to clarify such local lattice distortion in a doped lattice could be the method of lattice relaxation in the first-principles. In this case, however, highly precise calculation is also desirable.

#### 4. Conclusions

We explained the MA of M-type ferrites by calculating the electronic structure of clusters with a single  $\text{Fe}^{3+}$  ion and surrounding  $\text{O}^{2-}$  ions. The SOI ( $LS$  coupling) was introduced in the magnetic ion. We found that the calculated results agree with the experimental ones fairly well, and attributed the mechanism of MA of  $\text{Fe}^{3+}$  ions to the  $p$ - $d$  mixing between 2p- and 3d-orbitals of  $\text{O}^{2-}$  and  $\text{Fe}^{3+}$  ions, respectively. It was pointed out that a small amount of lattice deformation of the clusters is crucial for quantitative understanding of the MA.

Our cluster calculations showed that MA of M-type ferrites doped with  $\text{Fe}^{2+}$  or  $\text{Co}^{2+}$  ions is also strongly affected by a small amount of lattice distortion. It was shown that  $\text{Fe}^{2+}$  ions doped onto 2a-site produce metamagnetic character when compressive deformation along the  $c$ -axis is introduced. It was also shown that the  $\text{Co}^{2+}$  ions doped onto  $4f_1$  site may increase the uniaxial MA when the compressive deformation is introduced.

For material design of ferrite magnets, however, precise measurements of local lattice distortion and

detailed first-principles calculations of MA with local lattice relaxation are required.

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